# Novel high-gradient accelerating structures

#### Monday

Introduction to accelerating structures
Traditional [iris-loaded] accelerating
structures
Accelerating structure parameters
Introduction to simulations
Computer Lab: Basic examples of
simulations (MW simulations)

#### Wednesday

Power Extraction. Two beam acceleration
Particle studio examples
Computer Lab: Simulation of DLA.
Optimization
Computer Lab: Introduction to Photonic Crystals (simulations)

#### Tuesday

Dielectric loaded accelerating (DLA) structures
Wakefield Acceleration
Discussion of DLA simulations (MW)
Computer Lab: Basic examples of simulations

#### Thursday

Photonic Crystals General Theory
Photonic Band Gap (PBG)
accelerating structures
Computer Lab: MW Simulations of
PBG
Computer Lab: Final project
(simulation / optimization of
accelerating structure)

#### Friday

Special topics: Beam Break UP. High order mode suppression Exotic structures. Metamaterials for accelerator applications Conclusions and final project results

## Specifics for this course

- No beam dynamics (until Friday). Almost all the time we treat the beam as one macro particle
- High gradient structures
- Emphasis on simulations
- We can adjust the material based on your feedback

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# Introduction to accelerating structures

Sergey Antipov and Chunguang Jing

#### outline

- Brief Introduction
- Electrodynamics
  - Maxwell's equations. Waveguides. Eigenmodes.
  - Dispersion curves. S-parameters. Fourier transforms.
  - Particle interaction. Cherenkov radiation.
- Standard accelerating structures
  - The Floquet theorem. Iris loaded waveguide
  - Accelerating parameters

### Accelerators

Table 1.1. Worldwide inventory of accelerators, in total 15,000.

Category	Number
Ion implanters and surface modifications Accelerators in industry Accelerators in non-nuclear research Radiotherapy Medical isotopes production Hadron therapy Synchrotron radiation sources Nuclear and particle physics research	7000 1500 1000 5000 200 20 70 110

### Examples of Accelerator application

- Ion implantation for semiconductors
- Harden cutting tools / reduction of friction in metal parts, biomaterials and implants
- Deep welding for dissimilar metals
- Precision cutting and drilling
- Medical product sterilization
- Food and waste irradiation
- Cross linking and polymerization
- Waste water remediation
- Cargo examination
- Oil well logging
- Radioisotope production (calibration, medical)
- Synchrotron radiation
- Gemstone color enhancement (topaz, diamonds)

>99% of accelerators are in industry

\*Robert Hamm, 2010 at High Energy Physics Advisory Panel

# Maxwell's equations

$$\nabla \cdot \overrightarrow{B} = 0$$

$$\nabla \cdot \overrightarrow{D} = 4\pi\rho$$

$$\nabla \times \overrightarrow{E} = -\frac{1}{c} \frac{\partial \overrightarrow{B}}{\partial t}$$

$$\nabla \times \overrightarrow{H} = \frac{4\pi}{c} \overrightarrow{j} + \frac{1}{c} \frac{\partial \overrightarrow{D}}{\partial t}$$

Assume: 
$$\overrightarrow{B} = \mu \overrightarrow{H}$$
  $\overrightarrow{D} = \epsilon \overrightarrow{E}$ 

$$\overrightarrow{D} = \epsilon \overrightarrow{E}$$

$$\nabla \times \nabla \times \overrightarrow{E} = -\frac{\mu}{c} \frac{\partial \nabla \times \overrightarrow{H}}{\partial t}$$

$$\nabla \times \nabla \times \overrightarrow{E} = \nabla \left( \nabla \cdot \overrightarrow{E} \right) - \triangle \overrightarrow{E} =$$

$$\nabla \times \nabla \times \overrightarrow{E} = \nabla \left( \nabla \cdot \overrightarrow{E} \right) - \triangle \overrightarrow{E} =$$

$$= -\frac{\mu}{c^2} \frac{\partial \left(\frac{4\pi}{c} \overrightarrow{j} + \frac{\epsilon}{c} \frac{\partial \overrightarrow{E}}{\partial t}\right)}{\partial t}$$

$$\Delta \overrightarrow{E} - \frac{\epsilon \mu}{c^2} \frac{\partial^2 \overrightarrow{E}}{\partial t^2} - \nabla \left( \nabla \cdot \overrightarrow{E} \right) - \frac{4\pi \mu}{c^2} \frac{\partial \overrightarrow{j}}{\partial t} = 0$$

Make component-differentiation (rot rot  $\rightarrow$  grad div - laplacian)

# Boundary conditions. Material equations.

Boundary conditions
 Material equations

$$B_{2n} - B_{1n} = 0$$

$$D_{2n} - D_{1n} = 4\pi\sigma$$

$$E_{2\tau} - E_{1\tau} = 0$$

$$\left(\overrightarrow{H}_2 - \overrightarrow{H}_2\right) \times \overrightarrow{n} = \frac{4\pi \overrightarrow{i}}{c}$$

– Isotropic, linear: 
$$\overrightarrow{D} = \epsilon \overrightarrow{E}$$

– Anisotropic: 
$$\overrightarrow{D} = \widehat{\epsilon} \overrightarrow{E}$$

- Dispersion: 
$$\overrightarrow{D} = \left(1 - \frac{\omega_p^2}{\omega^2}\right) \overrightarrow{E}$$

- Nonlinear: 
$$\overrightarrow{D} = \epsilon \overrightarrow{E} + \epsilon_{NL} \left| \overrightarrow{E}^2 \right| \overrightarrow{E}$$

Vacuum – Metal boundary - ?

#### No sources. Plane waves

$$\triangle \overrightarrow{E} - \frac{\epsilon \mu}{c^2} \frac{\partial^2 \overrightarrow{E}}{\partial t^2} = \mathbf{0}$$

$$\overrightarrow{A}e^{-i\omega t + ik_z z}$$

 $\overrightarrow{A}e^{-i\omega t+ik_z z}$  Observe, that this would satisfy equation

$$-k_z^2 \overrightarrow{A} + \frac{\epsilon \mu}{c^2} \overrightarrow{A} = 0$$

$$k_z^2 = \frac{\epsilon \mu \omega^2}{c^2} = \epsilon \mu k_0^2$$

 $k_z^2 = \frac{\epsilon \mu \omega^2}{c^2} = \epsilon \mu k_0^2$  Provided, there is a restriction on  $k_z$  and  $\omega$ 

#### Effective wavelength:

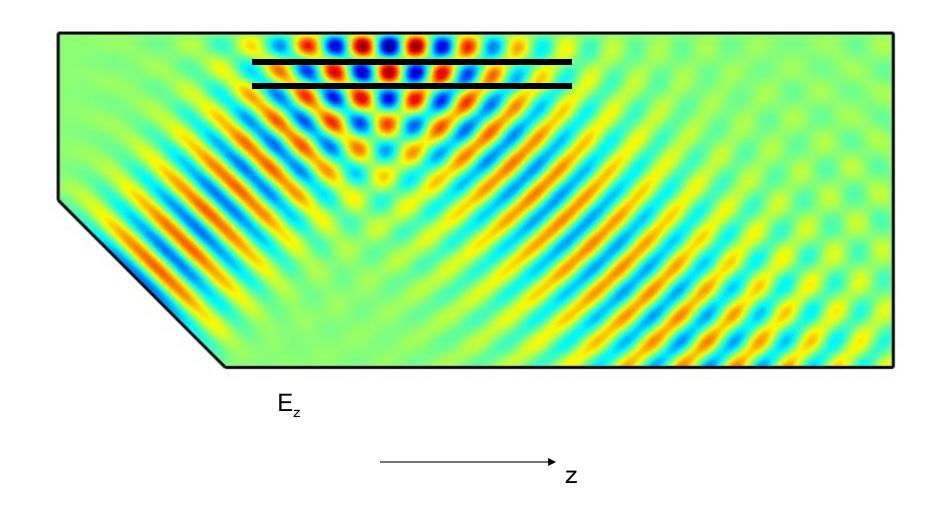
$$\cos\left(k_z\lambda_{eff}\right) = 0$$

$$\lambda_{eff} = \frac{2\pi}{k_z}$$

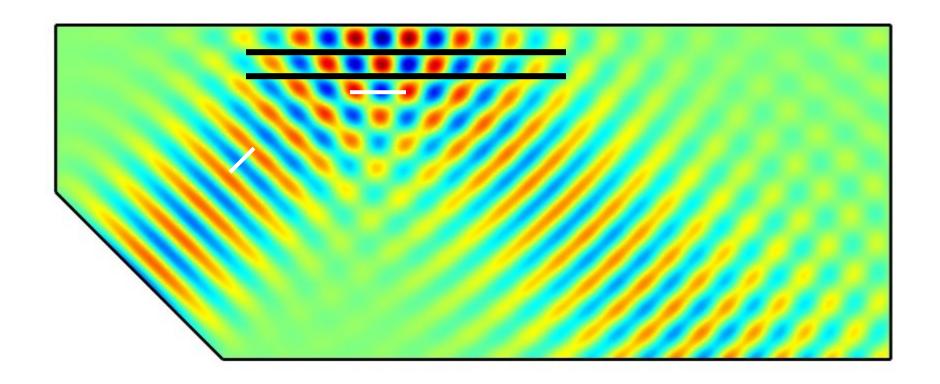
Free – space wavelength

$$\lambda_0 = \frac{2\pi}{k_0} = \frac{c}{f}$$

# Waveguiding

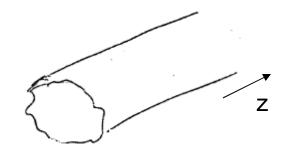


# waveguiding



# Waveguiding

$$\triangle \overrightarrow{E} - \frac{\epsilon \mu}{c^2} \frac{\partial^2 \overrightarrow{E}}{\partial t^2} = 0$$



Boundary condition

Again, searching for propagating solution

$$\overrightarrow{E} = \overrightarrow{E_{\perp}}(x, y) e^{-i\omega t + ik_z z}$$

 $E_{z\perp} = 0$ 

$$\triangle_{\perp}\overrightarrow{E_{\perp}} - k_z^2\overrightarrow{E_{\perp}} + \epsilon\mu k_0^2\overrightarrow{E_{\perp}} = 0$$

For z – component we get

$$\Delta_{\perp} E_{z\perp} - k_z^2 E_{z\perp} + \epsilon \mu k_0^2 E_{z\perp} = 0$$

$$\triangle_{\perp} E_{z\perp} = \left(k_z^2 - \epsilon \mu k_0^2\right) E_{z\perp}$$

Has infinitely many solutions, but there is a way to count (classify) them

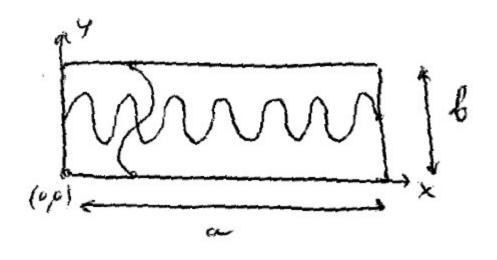
# Example: Rectangular waveguide

$$\Delta_{\perp} E_z + \chi^2 E_z = 0$$

$$E_z = X(x) \cdot Y(y)$$

$$\frac{\partial^2 X}{\partial t^2} + \chi_x^2 X = 0$$

$$E_z = E_0 sin\left(\frac{m\pi}{a}x\right) \left(\frac{n\pi}{b}y\right)$$



$$\chi^2 = \left(\frac{m\pi}{a}\right)^2 + \left(\frac{n\pi}{b}\right)^2$$

Fourier row analogy

# Eigenmodes

$$\Delta_{\perp} E_{zi} + \chi_i^2 E_{zi} = \Delta_{\perp} E_{zi} - \lambda_i E_{zi} = 0$$

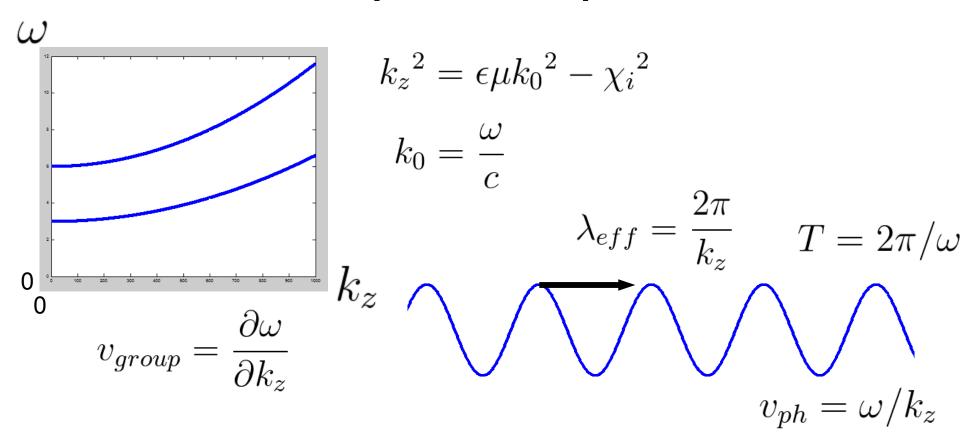
$$(k_z^2 - \epsilon \mu k_0^2 + \chi_i^2) E_{zi} = 0$$

TM  $H_z=0$  vs TE modes

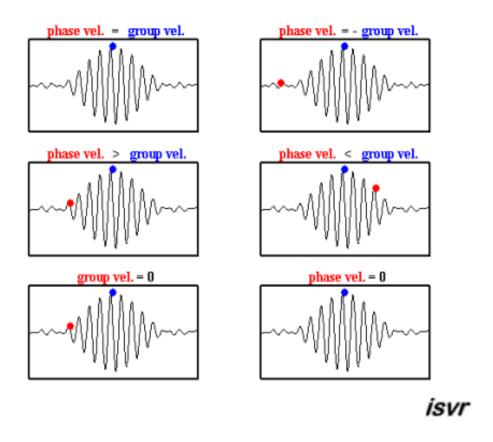
Dispersion 
$$k_z{}^2 = \epsilon \mu k_0{}^2 - \chi_i{}^2$$
 For *i*-th mode

What happens if arbitrary field distribution launched from one end into the waveguide at frequency f?

# Dispersion plot



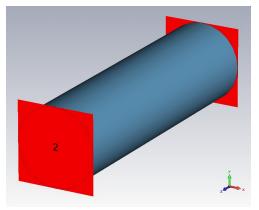
# Phase velocity, group velocity

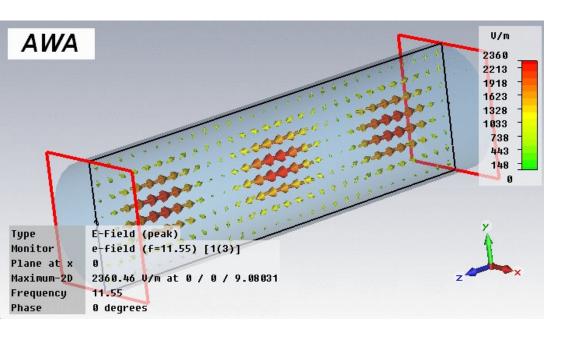


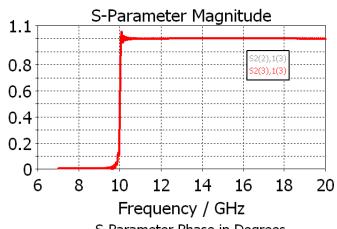
## **Simulations**

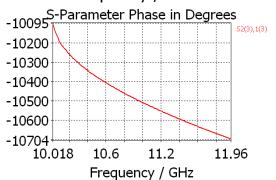
- Transmission, S21 / Reflection, S11
- Phase advance

$$\lambda_{eff} = rac{2\pi}{k_z}$$
 vs structure length



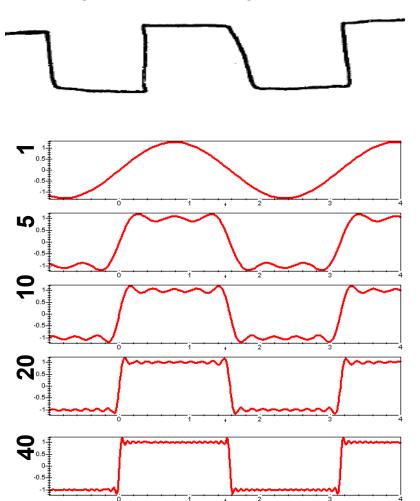


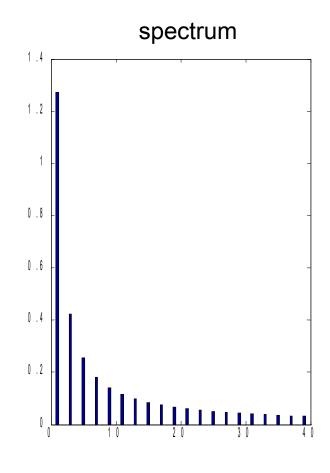




## Fourier transform (example: row)

Original periodic signal (bunch train)





 $F(t) = 1.273*\sin(1*w*t) + 0.424*\sin(3*w*t) + 0.255*\sin(5*w*t) + 0.182*\sin(7*w*t) + 0.141*\sin(9*w*t) + 0.116*\sin(11*w*t) + 0.098*\sin(13*w*t) + 0.085*\sin(15*w*t) + 0.075*\sin(17*w*t) + 0.067*\sin(19*w*t) + 0.060*\sin(21*w*t) + 0.055*\sin(23*w*t) + 0.024*\sin(27*w*t) + 0.024*\sin($ 

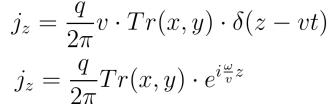
 $+0.047*\sin(27*w*t) + 0.044*\sin(29*w*t) + 0.041*\sin(31*w*t) + 0.039*\sin(33*w*t) + 0.036*\sin(35*w*t) + 0.034*\sin(37*w*t) + 0.036*\sin(35*w*t) + 0.034*\sin(37*w*t) + 0.036*\sin(35*w*t) + 0.034*\sin(37*w*t) + 0.036*\sin(37*w*t) + 0.036*(37*w*t) + 0.036*$ 

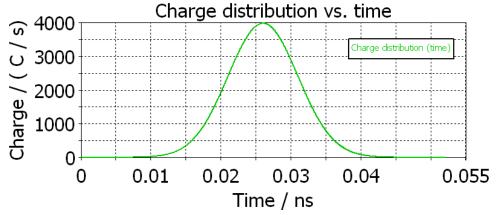
+0.033\*sin(39\*w\*t)

### Fourier transform

$$F(\omega) = \int_{-\infty}^{\infty} f(t)e^{i\omega t}dt$$

$$f(t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} F(\omega)e^{-i\omega t}d\omega$$





Particle enforces not only frequency, but k<sub>z</sub> as well Phase matching

Charge distribution amplitude spectrum

2e-008

1e-008

0 20 40 60 80 100 120

Frequency / GHz

Charge | /

Delta function / gaussian

Frequency content

Applications in wakefield detection

Applications in simulations

# Waveguide + dielectric + beam

$$\Delta E_z - \frac{\epsilon \mu}{c^2} \frac{\partial^2 E_z}{\partial t^2} = \frac{4\pi}{\epsilon} \nabla \rho + \frac{4\pi \mu}{c^2} \frac{\partial j_z}{\partial t}$$

Processes of form:

$$e^{-i\omega t + ik_z(\omega)z}$$

Processes of form:

$$e^{-i\omega t + ik_e(\omega)z}$$

For the particle beam

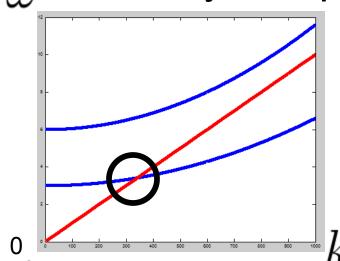
$$\rho, j_z \sim f(z - vt)$$

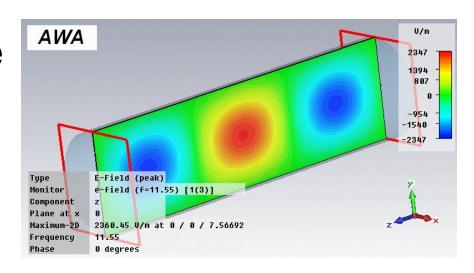
$$e^{ik_e(z - vt)z}$$

$$k_z^2 = \epsilon \mu k_0^2 - \chi_i^2$$
 and  $k_e = \omega/v$ 

# Synchronism

 Phase velocity of the mode should match velocity of a particle



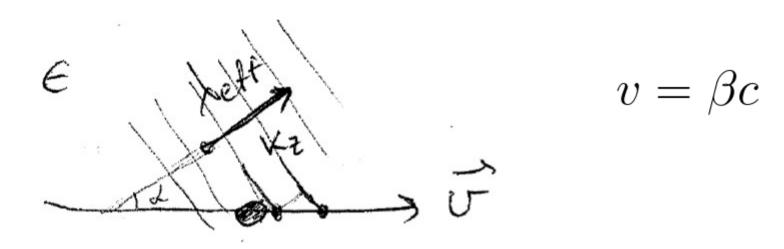


$$k_z^2 = \epsilon \mu k_0^2 - \chi_i^2$$
$$k_z^2 = \frac{\omega^2}{v^2} \quad v = \beta c$$

Vacuum - no interaction

$$\epsilon = \mu = 1$$

### Cherenkov radiation



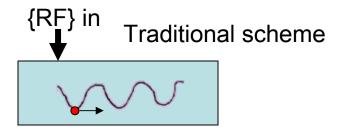
$$\lambda_{trajectory} = \frac{\lambda_{eff}}{cos\alpha} = \frac{2\pi}{k_z cos\alpha} = \frac{2\pi c}{\epsilon^{1/2} cos\alpha \cdot \omega} = vT$$

$$\cos\alpha = \frac{1}{\epsilon^{1/2}\beta}$$

There is a restriction on angle for radiation to occur

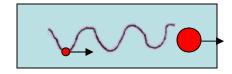
# Accelerating process

- Particle [electron] energy, velocity (≈ c)
- Accelerating structure, that supports mode
- Mode has to have right field pattern
- Mode has to provide synchronization
- Particle interaction with the mode



Standing wave / traveling wave {RF} source, Coupling

Wakefield acceleration



Drive beam, timing

Iris-loaded, DLA, PBG, Plasma, Laser-structure ...

## What to do with empty waveguide?

$$k_{zm}^2 = k_0^2 - \chi_m^2$$

$$k_0 = \omega/c$$

$${k_z}^2 = rac{\omega^2}{v^2}$$
 (particle)

Phase velocity

$$v_{ph} = \frac{\omega}{k_{zm}} = \frac{\omega}{\sqrt{\frac{\omega^2}{c^2} - \chi_m^2}} = \frac{c}{\sqrt{1 - \frac{\chi_m^2 c^2}{\omega^2}}} > c$$

Need to slow mode down

Introduce **any** periodicity

FIGURE 6-19 Slow-wave structures proposed for linear accelerators.

FORWARD-WAVE STRUCTURES

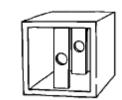




L DISK-LOADED STRUCTURE

2. VENTILATED STRUCTURE

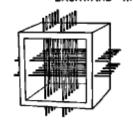




3. CENTIPEDE STRUCTURE

4. RECTANGULAR SLAB

BACKWARD - WAVE STRUCTURES

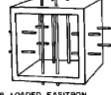




5. JUNGLE GYM"

6. SLOTTED DISK STRUCTURE





7. RING & BAR STRUCTURE

B. LOADED EASITRON MIS

## The Floquet theorem. Dispersion

Instead of [empty waveguide]

$$\vec{E} = \vec{E}_{\perp}(x, y)e^{-i\omega t + ik_{z0}z}$$

We have due to periodicity

$$\vec{E} = \vec{E}_{\perp}(x, y, z)e^{-i\omega t + ik_{z0}z}$$

Floquet theorem says [kd is a phase advance per period]:

•

$$E_0(z) = E_0(z+d)e^{\pm ik0d}$$

Fourier row [space harmonics]

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$$E(r,z) = \sum_{-\infty}^{\infty} a_n(r)e^{-i\frac{2\pi n}{d}z}$$

We end up with effective k<sub>r</sub>

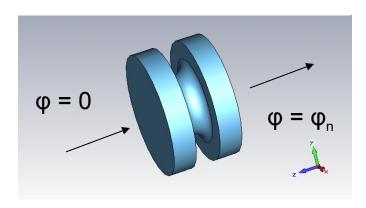
$$\vec{E} = \vec{E}_{\perp}(x, y)e^{-i\omega t + ik_z z + i\frac{2\pi n}{d}z}$$

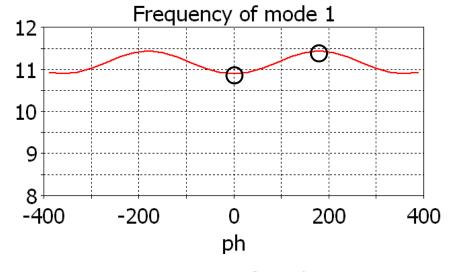
#### simulations

- Corrugated structure:
- Periodic cells:
  - Phase advance and dispersion
  - Space harmonics

- Eigenmodes.
- Geometry optimization
- All set to calculate accelerating parameters

# Dispersion



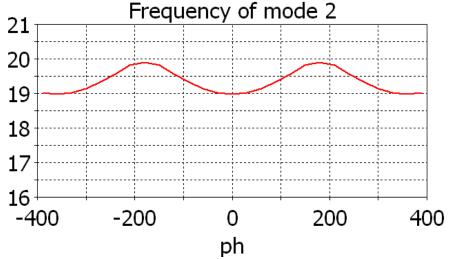


k<sub>z</sub>·d – phase advance

Synchronization with particle is guaranteed!

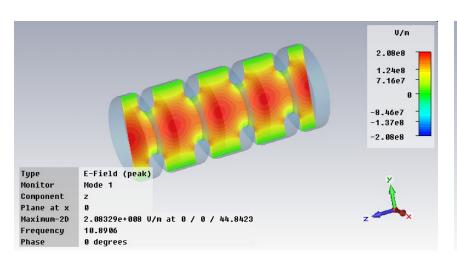
There are forbidden bands. Why?!

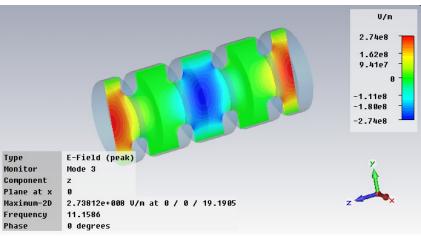
Theme alert ©

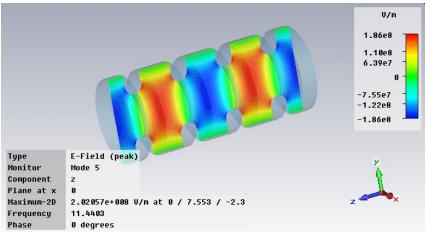


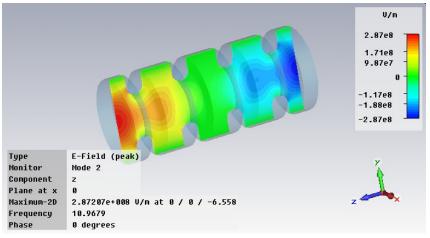
Travelling wave accelerator vs Standing wave accelerator

# Phase advance per cell

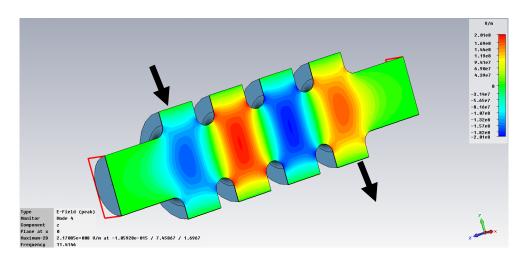






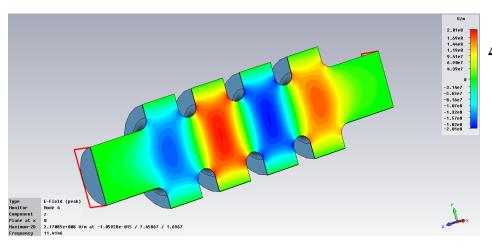


# Iris – loaded waveguide



- Corrugation form of periodicity in this case
- Period cell length
- Alternative interpretation: coupled pill box cavities (set of coupled oscillators)
- Travelling wave accelerator vs Standing wave accelerator

### Transit effect simulation



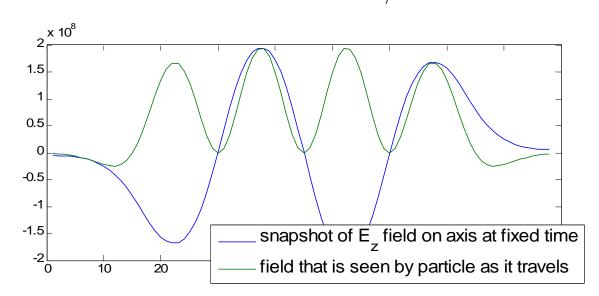
$$\Delta W = q \int_{-L/2}^{L/2} E(0, z) \cos(\omega t(z) + \phi) dz$$

$$\omega t = \omega z/v = 2\pi z/\beta \lambda$$

$$T = \frac{\int_{-L/2}^{L/2} E(0, z) \cos(2\pi z/\beta \lambda) dz}{\int_{-L/2}^{L/2} E(0, z) dz}$$

Panofsky equation: energy gain

$$\Delta W = qE_0T\cos(\phi L)$$

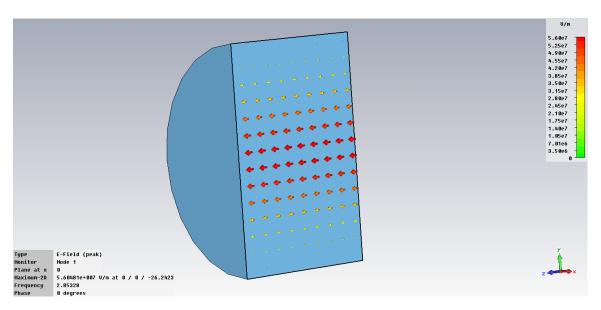


#### Transient effect simulation

- SW 3 cells parameters accelerator.cst
- D=8.7474 mm cell [optimize] for 11.424 GHz for  $2\pi/3$
- Template based postprocessing
  - Evaluate field along arbitrary coordinates
  - 1D result from 1D result, rescale
- Export to matlab
- plot(ez(:,1),[-(ez(:,2)) ez(:,2).\*scale(:,2)])
- T=sum(ez(:,2).\*scale(:,2))/sum(abs(ez(:,2)))

logous to: 
$$T=\frac{\int_{-L/2}^{L/2}E(0,z)cos(2\pi z/\beta\lambda)dz}{\int_{-L/2}^{L/2}E(0,z)dz}$$

# Accelerating parameters of a pillbox SW cavity



TM010 mode @ 2.856 GHz

λ0=104.97mm

a=40.1789mm

d=52.4847mm

E0 = 56 MV/m

# Cavity parameters

\*For TW define per unit length

$$U = \frac{\epsilon}{2} \int_{V} \vec{E}^2 dV$$

$$P = \frac{R_s}{2} \int_S H^2 dS$$

$$Q = \frac{\omega U}{P}$$

Quality factor = 185 
$$T = \frac{\int_{-L/2}^{L/2} E(0,z) cos(2\pi z/\beta\lambda) dz}{\int_{-L/2}^{L/2} E(0,z) dz}$$
 Transit factor = .64

per structure

per unit length

T·E<sub>0</sub>

 $T \cdot E_0 \cdot d$ 

 $R_{sh} = (T \cdot E_0 \cdot d)^2 / P$ 

 $r_{sh} = (T \cdot E_0 \cdot d)^2 / P / d$ 

 $R_{sh}/Q$ 

 $R_{sh}/Q/d$ 

Stored energy = 1 Joule

Power dissipation = 968 kWatt

Quality factor = 18500

Effective gradient = 35.7 MV/m

Panofsky voltage / energy gain = 1.87 MeV

Effective shunt impedance = 3.6 MOhm

Effective shunt impedance = 69 MOhm/m

Effective R over Q = 196 Ohms per structure

per unit length Effective R over Q = 3.7 kOhm/m

# Accelerating parameters

- Shunt impedance measures efficiency of acceleration for a given dissipated power
- r / Q shows how much accelerating field one has for a given stored energy; depends on geometry only as loss excluded

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# Computer lab / homework

- Simulate X-Band iris loaded structure
- Optimize geometry to match [π/3, 2π/3, π/4 mode] to 11.424 GHz
- Calculate
  - Transit factor
  - Q, R/Q, R

**–** . . .